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APPLICATION NUMBER 09/478,188	FILING/RECEIPT DATE 01/05/00	FIRST NAMED APPLICANT SHEN	ATTORNEY DOCKET NO./TITLE B 2500.128US1
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MAJESTIC PARSONS SIEBERT & HSUE PC
FOUR EMBARCADERO CENTER
SUITE 1100
SAN FRANCISCO CA 94111-4106

DATE MAILED: 02/15/00

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 3198.00

- ☒ 1. The statutory basic filing fee is:

☒ missing.
☐ insufficient.

Applicant must submit \$ 690.00 to complete the basic filing fee and/or file a small entity statement claiming such status. (37 CFR 1.27)

\$ 1494 for 83 total claims over 20.
\$ 624 for 8 independent claims over 3.
\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

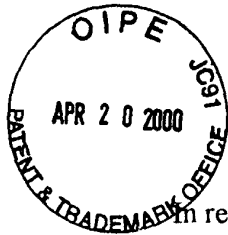
A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

04/24/2000 HBERHE 00000022 09478188
01 FC:201 345.00 OP
02 FC:205 65.00 OP
03 FC:203 531.00 OP
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05 FC:204 130.00 OP

U.S. GPO 1999 450-5875

SECTOR
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
BEN SHEN *ET AL.*

Application No.: 09/478,188

Art Unit: 1643

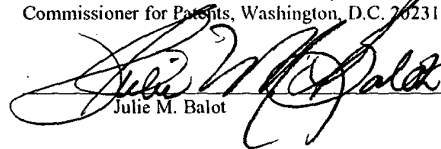
Filed: JANUARY 5, 2000

For: GENE CLUSTER FOR PRO-
DUCTION OF THE ENEDIYNE
ANTITUMOR ANTIBIOTIC C-1027

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on April 17, 2000.

 4/17/00
Julie M. Balot Date

TRANSMITTAL OF MISSING PARTS


Sir:

Transmitted herewith are the missing parts of the above-identified application.

1. A Patent Application Declaration executed by Ben Shen, Wen Liu and Steven D. Christenson.
2. A Patent Application Declaration executed by Scott Standage.
3. Executed Assignments including a recordation cover sheet.
4. An executed Power of Attorney by Assignee and Exclusion of Inventors.
5. An executed Statement (Declaration) Claiming Small Entity Status.
6. A check in the amount of \$1,423.00 (\$345 - basic filing, \$531 - total claims (79-20=59), \$312 - eight extra independent claims; \$130-multiple claims, \$65 - missing parts surcharge and \$40 - assignment recordation fee). The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment, to Account No. 13-1030. A duplicate copy of this sheet is enclosed.
7. A copy of the Notice to File Missing Parts of Application (Part 2).

Dated: April 17, 2000.

Respectfully submitted,


Tom Hunter, Reg. No. 38,498
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
Four Embarcadero Center, Suite 1100
San Francisco, California 94111-4106
Telephone: (415) 248-5500

Atty. Docket: 2500.128US1